



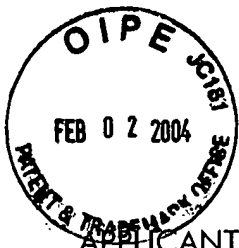
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/697,711	
	Filing Date	October 30, 2003	
	First Named Inventor	Brieanna Herrick	
	Group Art Unit	Unknown	
	Examiner Name	Unknown	
Total Number of Pages in This Submission (including this sheet)	5	Attorney Docket No.	2864.HERR.PT

ENCLOSURES (check all that apply)			
<input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Appeal Communication: <input type="checkbox"/> Appeal Notice <input type="checkbox"/> Appeal Brief <input type="checkbox"/> Reply Brief <input type="checkbox"/> Assignment with Cover Sheet <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Check in the amount of \$__ <input type="checkbox"/> Credit card authorization in the amount of \$__ <input type="checkbox"/> Declaration & Power of Attorney <input type="checkbox"/> Drawings __ sheets <input type="checkbox"/> Formal <input type="checkbox"/> Informal	<input type="checkbox"/> Extension of Time Request ____ month <input type="checkbox"/> Fee Calculation Table <input checked="" type="checkbox"/> Information Disclosure Statement <input checked="" type="checkbox"/> Form 1449 <input type="checkbox"/> Copies of IDS References <input type="checkbox"/> Issue Fee Transmittal & Advance Order	<input type="checkbox"/> Maintenance Fee Transmittal ____ year <input type="checkbox"/> Missing Parts Response <input type="checkbox"/> Notification of Change of Attorney Address & Docket Number <input checked="" type="checkbox"/> Return Postcard <input type="checkbox"/> Revocation & Power of Attorney <input type="checkbox"/> Status Inquiry <input type="checkbox"/> Other:	
Remarks			
SIGNATURE OF APPLICANT, ATTORNEY OR AGENT			
Attorney for Applicant	David W. O'Bryant, Registration No. 39,793 MORRISS O'BRYANT COMPAGNI, P.C. 136 South Main Street, Suite 700 Salt Lake City, Utah 84101 (801) 478-0071 telephone; (801) 478-0076 facsimile		
Signature		Date	1/27/2004
CERTIFICATE OF MAILING UNDER 37 CFR § 1.8			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, on the date indicated below, in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.			
Typed or Printed Name	David W. O'Bryant		
Signature		Date	1/27/2004



Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Brianna Herrick et al.
SERIAL NO.: 10/697,711
FILING DATE: October 30, 2003
TITLE: ENDOTRACHEAL TUBE HOLDER
WITH AN ADJACENT FEEDING
TUBE HOLDER FOR NEO-NATAL
USE
ART UNIT: Unknown
EXAMINER: Unknown
DOCKET NO.: 2864.HERR.PT

CERTIFICATE OF MAILING
UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail, postage prepaid, under 37 C.F.R. § 1.8 on the date indicated below and is addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

David W. O'Bryan
David W. O'Bryan

1/27/2004
Date of Deposit

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. § 1.98(a)(1), enclosed is Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). Applicant respectfully submits that this Information Disclosure Statement is filed, pursuant to 37 C.F.R. § 1.97 (b), within three months of the filing date of the application, within three months of the date of entry of the national stage set forth in § 1.491 or before the mailing date of a first Office action on the merits. Applicant requests that the references cited in the enclosed form PTO-1449 be made of record in the above-captioned application. While no representation is made that any of the references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

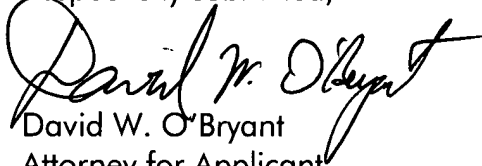
Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned

attorney of record believes that the references listed, together with any other references which may have been previously submitted or listed, are the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no reference which is more relevant to the claimed invention (taken in its entirety) has been knowingly withheld.

Please charge any additional fees or credit any overpayment to Deposit Account No. 50-0881.

DATED this 27th day of January, 2004.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "David W. O'Bryant", written over the typed name.

David W. O'Bryant
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Complete if Known

Application Number	10/697,711
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First Named Inventor	Brianna Herrick
Group Art Unit	Unknown
Examiner Name	Unknown
Attorney Docket Number	2864.HERR.PT

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Sheet 1 of 2

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-3,774,616	11-27-1973	White et al.	
		US-3,924,636	12-09-1975	Addison	
		US-4,054,135	10-18-1977	Berman	
		US-4,270,529	06-02-1981	Muto	
		US-4,644,588	02-24-1987	Zawacki	
		US-4,744,358	05-17-1988	McGinnis	
		US-5,009,227	04-23-1991	Nieuwstad	
		US-5,024,218	06-18-1991	Ovassapian et al.	
		US-5,069,206	12-03-1991	Crosbie	
		US-5,076,269	12-31-1991	Austin	
		US-5,205,832	04-27-1993	Tuman	
		US-5,345,931	09-13-1994	Battaglia, Jr.	
		US-5,368,024	11-29-1994	Jones	
		US-5,402,776	04-04-1995	Islava	
		US-5,419,319	05-30-1995	Werner	
		US-5,490,504	02-13-1996	Vrona et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶

 Examiner
Signature

 Date
Considered

*EXAMINER: Initial if reference considered, whether or not citation in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

FEB 02 2004 (use as many sheets as necessary)

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		Number-Kind Code ² (if known)			
		US-5,501,216	03-26-1996	Byrd	
		US-5,551,421	09-03-1996	Noureldin et al.	
		US-5,555,881	09-17-1996	Rogers et al.	
		US-5,653,228	08-05-1997	Byrd	
		US-5,704,916	01-06-1998	Byrd	
		US-5,755,225	05-26-1998	Hutson	
		US-5,806,516	09-15-1998	Beattie	
		US-5,862,801	01-26-1999	Wells	
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		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				

Examiner
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